$\begin{array}{l} \text{Review of day 1.} \\ \text{Preservation of } \omega \text{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{I}^{\leq \omega} \quad \text{and topological groups} \\ \text{Malykhin's Problem} \end{array}$ 

Winter School in Abstract Analysis 2013

# Forcing with filters and ideals (part II.) Malykhin's Problem

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# Contents

## 1 Review of day 1.

 $\fbox{2}$  Preservation of  $\omega$ -hitting and the  $\mathbb{L}_\mathcal{F}$  forcing

3  $\mathcal{I}^{<\omega}$  and topological groups

4 Malykhin's Problem

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 $\begin{array}{l} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Filters and ideals

An ideal  ${\mathcal I}$  on  $\omega$  is

- tall if for every infinite A ⊆ ω there is an I ∈ I such that |A ∩ I| is infinite,
- $\omega$ -hitting if for every  $\langle A_n : n \in \omega \rangle \subseteq [\omega]^{\omega}$  there is an  $I \in \mathcal{I}$  such that  $A_n \cap I$  is infinite for all  $n \in \omega$ ,

**Observation.** If you split an  $\omega$ -hitting ideal into countably many pieces, one of the pieces is  $\omega$ -hitting.

- (Katětov order)Let  $\mathcal{I}$  and  $\mathcal{J}$ .  $\mathcal{I} \leq_{K} \mathcal{J}$  if there is a function  $f: \omega \to \omega$  such that  $f^{-1}[I] \in \mathcal{J}$ , for all  $I \in \mathcal{I}$ .
- (Katětov-Blass order) As above with a finite-to-one function f.

 $\begin{array}{l} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Mathias and Laver type forcings

Let  ${\mathcal F}$  be a filter on  $\omega.$  Then

$$\mathbb{M}_{\mathcal{F}} = \{(s, A) : s \in [\omega]^{<\omega} \text{ and } A \in \mathcal{F}\}$$

ordered by  $(s, A) \leq (t, B)$  if  $s \supseteq t$ ,  $A \subseteq B$  and  $s \setminus t \subseteq B$ , and

$$\mathbb{L}_{\mathcal{F}} = \{ T \subseteq \omega^{<\omega} : T \text{ is a tree with stem } s_T \text{ such that} \\ \text{for all } t \in T, t \supseteq s_T \Rightarrow \textit{succ}_T(t) \in \mathcal{F} \},$$

ordered by inclusion.

 $succ_T(t) = \{n \in \omega : t^n \in T\},\$ 

#### Definition

Given  $s \in \omega^{<\omega}$  and  $\varphi$  formula in the forcing language we say that s favours  $\varphi$  if no condition in  $\mathbb{L}_{\mathcal{F}}$  with stem s forces " $\neg \varphi$ ".

 $\begin{array}{c} \text{Review of day 1.} \\ \textbf{Preservation of } \omega \textbf{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{I}^{\, \leq \, \omega} \quad \text{and topological groups} \\ Malykhin's Problem \end{array}$ 

## Contents

## 1 Review of day 1.

## 2 Preservation of $\omega$ -hitting and the $\mathbb{L}_{\mathcal{F}}$ forcing

- 3  $\mathcal{I}^{<\omega}$  and topological groups
- 4 Malykhin's Problem

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 $\begin{array}{c} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Preservation of $\omega$ -hitting

#### Definition

A forcing notion  $\mathbb{P}$  strongly preserves  $\omega$ -hitting if for every sequence  $\langle \dot{A}_n : n \in \omega \rangle$  of  $\mathbb{P}$ -names for infinite subsets of  $\omega$  there is a  $\langle B_n : n \in \omega \rangle$  sequence of infinite subsets of  $\omega$  such that for any  $B \in [\omega]^{\omega}$ , if  $B \cap B_n$  is infinite for all n then  $\Vdash_{\mathbb{P}}$  " $B \cap \dot{A}_n$  is infinite for all n".

#### Proposition (Brendle-H.)

Finite support iteration of forcings strongly preserving  $\omega$ -hitting strongly preserves  $\omega$ -hitting.

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 $\begin{array}{c} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing:} \\ \mathcal{I}^{\leq \omega} \mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Preservation of $\omega$ -hitting by $\mathbb{L}_{\mathcal{F}}$

Back to  $\mathbb{L}_{\mathcal{F}}$ :

### Lemma (Brendle-H.)

Let  ${\cal I}$  be an ideal on  $\omega$  and let  ${\cal F}={\cal I}^*$  be the dual filter. Then the following are equivalent:

(1) For every  $A \in \mathcal{I}^+$  and every  $\mathcal{J} \leq_{\mathcal{K}} \mathcal{I} \upharpoonright A$  the ideal  $\mathcal{J}$  is not  $\omega$ -hitting,

(2)  $\mathbb{L}_{\mathcal{F}}$  strongly preserves  $\omega$ -hitting, and

(3)  $\mathbb{L}_{\mathcal{F}}$  preserves  $\omega$ -hitting.

Proof: (2) $\Rightarrow$ (3) $\Rightarrow$ (1) is easy. To see (1)  $\Rightarrow$  (2), assume not, i.e. there is a sequence  $\langle A_n : n \in \omega \rangle$  of  $\mathbb{P}$ -names for infinite subsets of  $\omega$  such that for any  $\langle B_n : n \in \omega \rangle$  sequence of infinite subsets of  $\omega$  there is a  $B \in [\omega]^{\omega}$  such that  $B \cap B_n$  is infinite for all n yet there is a condition  $T_B \in \mathbb{L}_F$  such that for some  $n_B, m_B \ T_B \Vdash "B \cap \dot{A}_{n_B} \subseteq m_B"$ .

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 $\begin{array}{c} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Preservation of $\omega$ -hitting by $\mathbb{L}_{\mathcal{F}}$

#### ... want to prove ...

For every  $X \in \mathcal{I}^+$  and every  $\mathcal{J} \leq_{\kappa} \mathcal{I} \upharpoonright X$  the ideal  $\mathcal{J}$  is not  $\omega$ -hitting,  $\Rightarrow \mathbb{L}_{\mathcal{F}}$  strongly preserves  $\omega$ -hitting.

Let  $\mathcal{J} = \{B \in [\omega]^{\omega} : \exists T_B \in \mathbb{L}_{\mathcal{F}}, n_B, m_B \text{ s. t. } T_B \Vdash ``B \cap A_{n_B} \subseteq m_B"'\}.$ Define

rank<sub>n</sub>(s) = 0 iff either (1)  $\exists Z \subseteq \omega$  infinite  $\forall k \in Z(s \text{ favours } k \in \dot{A}_n)$ , or (2)  $\exists X \in \mathcal{I}^+$  and  $f : X \to \omega \ \forall l \in X \ s^{\frown}l$  favours  $f(l) \in \dot{A}_n$  and  $\forall k \in \omega$   $f^{-1}(k) \in \mathcal{I}$ finally,  $rank_n(s) \le \alpha$  if  $\{i : rank(s^{\frown}i) < \alpha\} \in \mathcal{I}^+$ . **Claim:** For all *s*,  $rank_n(s) < \infty$ .

(Hint: If not, construct a condition with stem s which forces  $A_n$  finite.)

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 $\begin{array}{c} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

## Preservation of $\omega$ -hitting by $\mathbb{L}_{\mathcal{F}}$

#### ... still want ...

For every  $X \in \mathcal{I}^+$  and every  $\mathcal{J} \leq_{\kappa} \mathcal{I} \upharpoonright X$  the ideal  $\mathcal{J}$  is not  $\omega$ -hitting,  $\Rightarrow \mathbb{L}_{\mathcal{F}}$  strongly preserves  $\omega$ -hitting.

Have  $\mathcal{J} = \{B \in [\omega]^{\omega} : \exists T_B \in \mathbb{L}_{\mathcal{F}}, n_B, m_B \text{ s. t. } T_B \Vdash "B \cap A_{n_B} \subseteq m_B"\}$  $\omega$ -hitting, and WLOG, for each B,  $rank_{n_B}(s_{T_B}) = 0$ . Now, fix s, n such that  $\mathcal{J}_0 = \{B \in \mathcal{J} : s_B = s \text{ and } n_B = n\}$  is  $\omega$ -hitting.

Then either of the following leads to a contradiction: **Case 1.**  $\exists Z \subseteq \omega$  infinite  $\forall k \in Z(s \text{ favours } k \in \dot{A}_n)$ . **Case 2.**  $\exists X \in \mathcal{I}^+$  and  $f : X \to \omega \ \forall l \in X \ s^{\frown}l$  favours  $f(l) \in \dot{A}_n$  and  $\forall k \in \omega \ f^{-1}(k) \in \mathcal{I}$ .  $\begin{array}{c} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing:} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Preservation of $\omega$ -hitting by $\mathbb{L}_{\mathcal{F}}$

#### ... keep wanting to prove:

For every  $X \in \mathcal{I}^+$  and every  $\mathcal{J} \leq_{\kappa} \mathcal{I} \upharpoonright X$  the ideal  $\mathcal{J}$  is not  $\omega$ -hitting,  $\Rightarrow \mathbb{L}_{\mathcal{F}}$  strongly preserves  $\omega$ -hitting.

Have s, n such that  $\mathcal{J}_0 = \{B \in \mathcal{J} : s_B = s \text{ and } n_B = n\}$  is  $\omega$ -hitting.

**Case 1.**  $\exists Z \subseteq \omega$  infinite  $\forall k \in Z(s \text{ favours } k \in A_n)$ .

Pick  $B \in \mathcal{J}_0$  such that  $B \cap Z$  is infinite and  $k > m_B$  such that  $k \in B \cap Z$ . Then there is  $S \leq T_B$  such that  $S \Vdash "k \in A_n$ ", a contradiction.

**Case 2.**  $\exists X \in \mathcal{I}^+$  and  $f : X \to \omega \ \forall l \in X \ s^{-l}$  favours  $f(l) \in A_n$  and  $\forall k \in \omega \ f^{-1}(k) \in \mathcal{I}$ .

 $\mathcal{J}_0$  is  $\omega$ -hitting, so there is a  $B \in \mathcal{J}_0$  such that  $f^{-1}[B] \in \mathcal{I}^+$ . So there is a  $k \in B \cap ran(f)$ ,  $k > m_B$ , such that  $f^{-1}(k) \cap succ_{\mathcal{T}_B}(s) \neq \emptyset$ . Pick  $j \in f^{-1}(k) \cap succ_{\mathcal{T}_B}(s)$ . Then  $s \cap j$  favours  $k \in A_n$  and hence there is a condition S whose stem extends  $s \cap j$  such that  $S \Vdash k \in A_n$ "  $\Rightarrow s \in \mathbb{R}$   $\begin{array}{c} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# Selected applications of $\mathbb{L}_\mathcal{F}$ and $\mathbb{M}_\mathcal{F}$

- Any inequality in the Cichoń diagram can be forced by a FSI of some combination of Random, L<sub>F</sub> and M<sub>F</sub> over a model of either CH or MA + ¬CH (try it, it is fun).
- (Brendle) Consistency of  $\mathfrak{b} < \mathfrak{s}$  and  $\mathfrak{b} < \mathfrak{a}$  with large continuum.
- (Brendle) Consistently distinguish distributivity numbers of various  $\sigma$ -closed partial orders of size c.
- (Blass-Shelah, Brendle, Brendle-Fisher) Matrix iterations
- (H.- Ramos García) Consistency of every separable Fréchet group is metrizable.

 $\begin{array}{c} \text{Review of day 1.} \\ \text{Preservation of } \omega \text{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{U} \leq \omega \text{ and topological groups} \\ \text{Malykhin's Problem} \end{array}$ 

## Contents

## 1 Review of day 1.

- $\fbox{2}$  Preservation of  $\omega$ -hitting and the  $\mathbb{L}_\mathcal{F}$  forcing
- (3)  $\mathcal{I}^{<\omega}$  and topological groups
- 4 Malykhin's Problem

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 $\begin{array}{c} \text{Review of day 1.} \\ \text{Preservation of } \omega \text{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{I}^{\textstyle < \omega} \text{ and topological groups} \\ \text{Malykhin's Problem} \end{array}$ 

# $\mathcal{I}^{<\omega}$ and ED topological groups

Recall that fin denotes the set of non-empty finite subsets of  $\omega,$  and given  ${\cal I}$  an ideal on  $\omega$ 

$$\mathcal{I}^{<\omega} = \{ A \subseteq fin : (\exists I \in \mathcal{I}) (\forall a \in A) \ a \cap I \neq \emptyset \}.$$

If  $\mathcal{F} = \mathcal{I}^*$  then  $(\mathcal{I}^{<\omega})^* = \mathcal{F}^{<\omega} = \langle [F]^{<\omega} : F \in \mathcal{F} \rangle$  induces a group topology  $\tau_{\mathcal{I}}$  on the Boolean group  $[\omega]^{<\omega}$  with the symmetric difference as the group operation by declaring  $\mathcal{F}^{<\omega}$  the filter of neighbourhoods of the  $\emptyset$ .

#### Theorem (Louveau)

The group  $([\omega]^{<\omega}, \tau_{\mathcal{I}})$  is extremally disconnected iff  $\mathcal{F} = \mathcal{I}^*$  is a selective ultrafilter.

The same construction works on a measurable cardinal, and yet another example can be obtained from Matet forcing with a union-ultrafilter.

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 $\begin{array}{c} \text{Review of day 1.} \\ \text{Preservation of } \omega\text{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{I}^{\leq \omega} \text{ and topological groups} \\ \text{Malykhin's Problem} \end{array}$ 

# $\mathcal{I}^{<\omega}$ and ED topological groups

### Question (Archangel'skii)

Is there a non-discrete extremally disconnected topological group?

#### Question

Let  $\mathbb{G}$  be an extremally disconnected topological group and let  $f: \mathbb{G} \to 2^{\omega}$  be a continuous function. Is there a non-empty open set  $U \subseteq \mathbb{G}$  such that f[U] is nowhere dense?

 $\begin{array}{l} \mbox{Review of day 1.} \\ \mbox{Preservation of } \omega\mbox{-hitting and the } \mathbb{L}_{\mathcal{F}}\mbox{ forcing} \\ \mathcal{I}^{\leq \omega}\mbox{ and topological groups} \\ \mbox{Malykhin's Problem} \end{array}$ 

# $\mathcal{I}^{<\omega}$ and Fréchet topological groups

#### Definition

A topological space X is *Fréchet* if for every  $A \subseteq X$  and every  $x \in \overline{A}$  there is a sequence  $\langle x_n : n \in \omega \rangle$  of elements of A converging to x.

The topology  $\tau_{\mathcal{I}}$  on  $[\omega]^{<\omega}$  is Fréchet iff every  $\mathcal{I}^{<\omega}$ -positive set contains an infinite set in  $(\mathcal{I}^{<\omega})^{\perp}$ . Recall that if  $\mathcal{I}$  is an ideal on a set X then

$$\mathcal{I}^{\perp} = \{J \subseteq X : (\forall I \in \mathcal{I}) | I \cap J | < \omega\}.$$

 $\tau_{\mathcal{I}}$  is metrizable if and only if the ideal  $\mathcal{I}$  is countably generated.

Question (Reznichenko-Sipacheva, Gruenhage-Szeptycki)

Is there an uncountably generated  ${\mathcal I}$  such that  $\tau_{\mathcal I}$  is Fréchet?

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 $\begin{array}{c} \text{Review of day 1.} \\ \text{Preservation of } \omega \text{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{I}^{\leq \omega} \text{ and topological groups} \\ \mathbf{Malykhin's Problem} \end{array}$ 

## Contents

## 1 Review of day 1.

2 Preservation of  $\omega$ -hitting and the  $\mathbb{L}_\mathcal{F}$  forcing

 $\textcircled{3} \mathcal{I}^{<\omega}$  and topological groups

### 4 Malykhin's Problem

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# Malykhin's problem

## Problem (Malykhin 1978)

Is every countable Fréchet group metrizable?

### Partial negative solutions:

- $\mathfrak{p} > \omega_1 \dots$  Yes
- (Gerlits-Nagy) There is an uncountable  $\gamma$ -set ... Yes
- (Nyikos)  $\mathfrak{p} = \mathfrak{b} \dots$  Yes
- (Ohrenstein-Tsaban)  $\mathfrak{p} = \mathfrak{b}$  there is an uncountable  $\gamma$ -set.

Recall that a set of reals Y is a  $\gamma\text{-set\,}$  if every open  $\omega\text{-cover}$  of Y has a  $\gamma\text{-subcover}.$  A cover  $\mathcal U$  is an

- $\omega$ -cover if every finite subset of Y is contained in an element of  $\mathcal{U}$ ,
- $\gamma$ -cover if every element of Y is contained in all but finiely many elements of  $\mathcal{U}$ .

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# Malykhin's problem

## Problem (Malykhin 1978)

Is every countable Fréchet group metrizable?

### Partial negative solutions:

- $\mathfrak{p} > \omega_1 \dots$  Yes
- (Gerlits-Nagy) There is an uncountable  $\gamma$ -set ... Yes
- (Nyikos)  $\mathfrak{p} = \mathfrak{b} \dots$  Yes
- (Ohrenstein-Tsaban)  $\mathfrak{p} = \mathfrak{b}$  there is an uncountable  $\gamma$ -set.

Recall that a set of reals Y is a  $\gamma\text{-set\,}$  if every open  $\omega\text{-cover}$  of Y has a  $\gamma\text{-subcover.}$  A cover  $\mathcal U$  is an

- $\omega$ -cover if every finite subset of Y is contained in an element of  $\mathcal{U}$ ,
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# The solution

### Theorem (H.-Ramos García)

It is consistent with **ZFC** that every separable Fréchet group is metrizable.

## Plan of the proof:

Using a standard bookkeeping argument we construct a FS iteration of length  $\omega_2 \sigma$ -centered forcing notions, eventually taking care of all countable Fréchet groups of weight less than  $\omega_1$ . At stage  $\alpha$  when dealing with the group  $\mathbb{G}_{\alpha}$  handed to us by the bookkeeping device we need to do three things:

- add a set A ⊆ G<sub>α</sub> which has the neutral element 0 as an accumulation point, and does not have a sequence converging to 0,
- added earlier in the iteration.
- **3** make sure that 0 remains in the closure of A later on.

# Fréchet idealized

- Given a space X and a point x ∈ X we denote by I<sub>x</sub> the dual ideal to the filter of neighbourhoods of x, I<sub>x</sub> = {A ⊆ X : x ∉ Ā}.
- If X is countable then the infinite members of  $\mathcal{I}^{\perp} = \{J \subseteq X : (\forall I \in \mathcal{I}) | I \cap J | < \omega\}$  are exactly the sequences convergent to x.
- The space X is Fréchet at x iff every *I<sub>x</sub>*-positive set contains an infinite element of *I<sub>x</sub><sup>⊥</sup>* iff *I<sub>x</sub><sup>⊥⊥</sup> = I<sub>x</sub>* iff for no A ∈ *I<sub>x</sub><sup>+</sup>* is the ideal *I<sub>x</sub>* ↾ A tall.

### Definition

A forcing notion  $\mathbb{P}$  seals an ideal  $\mathcal{I}$  if it adds an  $\mathcal{I}$ -positive set A such that the ideal  $\mathcal{I} \upharpoonright A$  is countably tall.

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Review of day 1. Preservation of  $\omega$ -hitting and the  $\mathbb{L}_{\mathcal{F}}$  forcings  $\mathcal{I}^{\leq \omega}$  and topological groups Malykhin's Problem

# Sealing $\mathcal I$ by $\mathbb{L}_{\mathcal F}$

#### Lemma

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Let \mathcal{I} be an ideal on \omega and let \mathcal{F} be al filter on \omega.
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#### IF

 $\mathcal{I} \cap \mathcal{F} = \emptyset$  and for every countable family  $\mathcal{H} \subseteq \mathcal{F}^+$  there is an  $I \in \mathcal{I}$  such that  $H \cap I \in \mathcal{F}^+$  for all  $H \in \mathcal{H}$  (i.e.  $\mathcal{I}$  is  $\omega$ -hitting w.r.t.  $\mathcal{F}^+$ )

#### THEN

the forcing  $\mathbb{L}_{\mathcal{F}}$  seals the ideal  $\mathcal{I}$ .

#### Proposition

Let  $X = (\omega, \tau)$  be a regular Fréchet space,  $x \in X$  be such that  $\pi \chi(x, X) > \omega$ . Let  $\mathcal{G}$  be the filter of dense open subsets of X. Then: (1)  $\mathbb{L}_{\mathcal{G}}$  seals  $\mathcal{I}_x$ , and (2)  $\mathbb{L}_{\mathcal{G}}$  strongly preserves countable tallness.

 $\begin{array}{c} \text{Review of day 1.} \\ \text{Preservation of } \omega \text{-hitting and the } \mathbb{L}_{\mathcal{F}} \text{ forcing} \\ \mathcal{I}^{\leq \omega} \text{ and topological groups} \\ \mathbf{Malykhin's Problem} \end{array}$ 

# Sealing ${\mathcal I}$ by ${\mathbb L}_{{\mathcal F}}$

... to be continued ...

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2